



H&H FINANCIAL CENTER

H&H Financial Center – CLIENT PRIVACY POLICY

Maintaining the trust and confidence of our clients is a high priority. That is why we want you to understand how we protect your privacy when we collect and use information about you and the steps that we take to safeguard that information. This notice is provided to you on behalf of the H&H Financial Center.

Information We Collect: In connection with providing tax, trust, legal, and other services, we obtain non-public personal information about you, including:

- Information we receive from you on questionnaires, submitted documents or Phone calls in the normal progression of your tax or legal needs such as family names, birth dates, Social Security numbers, entity structure, assets and income.
- Information about your transactions with us, our affiliates, or others; and
- Information received from credit or service bureaus or other third parties, such as your credit history or employment status. This is done by third parties and not directly by us. We follow all legal and credit bureau guidelines when taking payment or distributing refunds, credits, etc.

Categories of Information We Disclose: We may only disclose information that we collect according to this policy. H&H Financial Center does not sell customer lists and will not give your information to telemarketers or third parties.

Categories of Parties to Whom we Disclose: We will not disclose information regarding you or your account with us, except under the following circumstances:

- To entities that perform services for us or function on our behalf, including financial service providers, tax service providers, investment companies or insurance companies.
- To third parties who perform services or marketing on our behalf,
- To your attorney, trustee, or anyone else who represents you in a fiduciary capacity.
- To our attorneys, accountants or auditors; and
- To government entities or other third parties in response to a subpoenas or other legal process as required by law or to comply with regulatory inquiries.

How we Use Information: Information may be used among companies that perform support services for us, such as data processors, technical systems consultants and programmers, or companies that help us market products and services to you for some purposes, such as:

- **To protect your accounts** from unauthorized access or identity theft.
- **To process your requests** such as securities purchases and sales.
- **To establish or maintain an account with an unaffiliated third party**, such as a tax or legal consultant providing services to you and/or H&H Financial Advisor.
- **To service your accounts** such as by taking payment and issuing credits, account statements, etc.

- **To keep you informed** about financial, legal, tax services that might be of interest to you.

Kenneth Himmler Agreement - Privacy Policy

Regulations S-AM: Under Regulations S_AM, a registered investment advisor is prohibited from using eligibility information that it receives from an affiliate to make a marketing solicitation unless: 1) the potential marketing use of that information has been clearly, conspicuously and concisely disclosed to the consumer. 2) the consumer has been provided a reasonable opportunity and a simple method to opt out of receiving the marketing solicitations, and 3) the consumer has not opted out.

Regulations S-ID: Regulation S-ID requires our firm to have an Identity Theft Protection Program (ITPP) that controls reasonably foreseeable risks to customers or to the safety and soundness of our firm from identity theft. We have developed an ITPP to adequately identify and detect to prevent and mitigate identity theft.

Our Security Policy: We restrict access to nonpublic personal information about you to those individuals who need to know that information to provide products or services to you and perform their respective duties. We maintain physical, electronic, and procedural security measures to safeguard confidential client information.

Closed or Inactive Accounts: If you decide to close your account(s) or become an inactive client, our Privacy Policy will continue to apply to you.

Complaint Notification: Please direct complaints to Kenneth Himmler at H&H Financial Center, 3755 Breakthrough Way Suite 101, Las Vegas NV 89135

Changes to this Privacy Policy: If we make any substantial changes in the way we use or disseminate confidential information, we will notify you. If you have any questions concerning this Privacy Policy, please contact us at H&H Financial Center 3755 Breakthrough Way, Suite 101 Las Vegas NV 89135

